

06/15/01
jc885 U.S. PTO



27123

PATENT TRADEMARK OFFICE

Docket No. 3856-4006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR REISSUE PATENT APPLICATION

11046 U.S. PTO
09/880881
06/15/01

In re Reissue of
Letters Patent 5,556,838

David J. MAYER et al.

Serial No.: TBA

Filed on: May 19, 1994

Granted on: September 17, 1996

For: INHIBITING THE DEVELOPMENT OF
TOLERANCE TO AND/OR DEPENDENCE
ON AN ADDICTIVE SUBSTANCE

BOX APPLICATIONS

Commissioner for Patents
U.S. Patent & Trademark Office
Washington, D.C. 20231

Sir:

Attached hereto is the application for reissue of U.S. Patent No. 5,556,838 issued on September 17, 1996.

Enclosed are the following:

1. Specification, claims and drawings
 - [9] pages of text (specification, claims, abstract)
 - [3] pages of claims – 16 claims
 - [10] sheets of drawings (Figures 1-10)
 - [X] formal
 - [] informal

☒ No changes in the drawings, upon which the original patent was issued, are to be made. Therefore, in accordance with 37 CFR § 1.174, please find attached, in the size required for original drawings:

- ☒ a copy of the printed drawings of the patent.
☐ a photoprint of the original drawings.

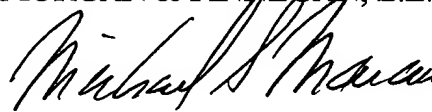
2. ☐ [9] Pages of Assignee Declaration and Power of Attorney
3. ☐ [] Pages of Inventors' Declaration and power of Attorney
4. ☒ A Communication entitled "Preliminary Remarks" is attached.
5. Offer to surrender the original Letters Patent in accordance with 37 CFR § 1.178 is included with Declaration.
 - ☐ Offer to surrender is by the inventor
 - ☐ along with assent of Assignee.
 - ☒ Offer to surrender is by the Assignee.
6. Letters Patent
 - ☐ Original Letters Patent are attached.
 - ☐ Declaration that original Letters Patent lost or inaccessible.
7. ☒ Certificate Under 37 C.F.R. § 3.73(b)
8. ☒ An Information Disclosure Statement is attached with copies of the references cited therein.
9. ☒ Priority is claimed under 35 U.S.C. § 120 from U.S. Patent Application(s) No. 43,280 dated April 6, 1993.

10. The Government filing fee is calculated as follows:

	(Col 1)		(Col 2)	(Col 3)	NON-SMALL ENTITY
	NO. FILED			NO. EXTRA	RATE FEE
TOTAL	49	minus	20	= 29	x18= \$ 522.00
INDEP	4	minus	3	= 1	x80= \$ 80.00
First Presentation, Multiple Dependent Claims					+270= \$ 270.00
Base Filing Fee					\$ 710.00
TOTAL FILING FEE* (accounting for possible small entity status)					\$ 1582.00

- ☐ *Reduced by one-half, as applicant(s) is/are a "small entity". A Declaration Claiming Small Entity Status:
☐ is filed herewith;
☐ will be filed at a later date.
- ☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- ☒ Charge Fee(s) to Deposit Account No. 13-4500. Order No. 3856-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3856-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.



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Registration No. 31,727
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June 15, 2001

Date

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PATENT TRADEMARK OFFICE

Attorney Docket No.: 3856-4006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Reissue of

U.S. Patent No. 5,556,838

David J. MAYER et al.

Filed: May 19, 1994

Granted: September 17, 1996

Serial No.: 08/245,970

For: Inhibiting the Development of Tolerance to and/or
Dependence on an Addictive Substance

Honorable Assistant Commissioner
of Patents and Trademarks
Washington, D.C. 20231

SIR:

CONSENT OF ASSIGNEE

Virginia Commonwealth University, the undersigned assignee of the entire interest in the above-mentioned Letters Patent recorded at Reel/Frame 006525/0957, hereby consents to the reissue of the subject patent.

Full name of assignee: Virginia Commonwealth University

Assignee Address: 1101 E. Marshall St., Sanger Hall, Rm. 1-026, Richmond, VA 23298-0568

Assignee signature and title: Richard C. Franson

Richard C. Franson, Ph.D.,
Director, Office of Technology Transfer
President, VCU - Intellectual Property Foundation

Date: 6/15/01



27123

PATENT TRADEMARK OFFICE

Docket Number 3856-4006

CERTIFICATE UNDER 37 C.F.R. 3.73(b)**Applicant:** MAYER et al.**Patent No.:** 5,556,838**Application No.:** TBA**Filed:** May 19, 1994**Entitled:** INHIBITING THE DEVELOPMENT OF
TOLERANCE TO AND/OR DEPENDENCE
ON AN ADDICTIVE SUBSTANCE

Virginia Commonwealth University, the assignee certifies that it is:

1. the assignee of the entire right, title, and interest of the patent and reissue patent application identified above by virtue of:

A. [X] A chain of title from the inventor(s), of the patent and reissue application identified above, to the current assignee as shown below:

1. From: David Mayer, Donald Price, Jianren Mao, and John Lyle To: Virginia Commonwealth University. The document was recorded in the Patent and Trademark Office at Reel 006524, Frame 0957, and for which a copy thereof is attached.

The undersigned is empowered to sign this certificate on behalf of the assignee.

Date: 6/15/94

Richard C. Franson, Ph.D.
Office of Technology Transfer
Virginia Commonwealth University
1101 E. Marshall St., Sanger Hall,
Room 1-026
Richmond, VA 23298

PAGE: 1

PATENT NUMBER: 5556838
SERIAL NUMBER: 08/245970
ISSUE DATE: 09/17/96
FILING DATE: 05/19/94
ASSIGNMENTS CONTINUATION OF SERIAL NUMBER 08043280
RELATED PATENT NUMBERS: 5556838 5654281
TITLE: INHIBITING THE DEVELOPMENT OF TOLERANCE TO AND/OR DEPENDENCE ON
AN ADDICTIVE SUBSTANCE
APPLICANT: MAYER, DAVID J. ; PRICE, DONALD D. ; MAO, JIANREN
LYLE, JOHN W.

REEL: 006524 FRAME: 0957 DATE RECORDED: 04/06/93 NUMBER OF PAGES: 006
ASSIGNOR: MAYER, DAVID J.

EXC DATE: 04/01/93
PRICE, DONALD D.
EXC DATE: 04/01/93
MAO, JIANREN
EXC DATE: 04/01/93
LYLE, JOHN W.
EXC DATE: 04/05/93

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PAGE: 2

ASSIGNEE: VIRGINIA COMMONWEALTH UNIVERSITY MEDICAL COLLEGE OF VIRGINIA
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333 EARLE OVINGTON BLVD.
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NO MORE INFORMATION FOR THIS PATENT NUMBER 06/05/00 11:00

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